

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of )  
 )  
SKLAR, et al. )  
 )  
Application No.: 10/620,070 ) Group Art Unit \_\_\_\_  
 )  
Filed: July 31, 2003 ) Examiner:  
 )  
For: "CRANIAL ORTHOSIS FOR )  
PREVENTING POSITIONAL )  
PLAGIOCEPHALY IN INFANTS" )

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
WITHIN THREE MONTHS OF FILING OR  
BEFORE MAILING OF FIRST OFFICE ACTION**

The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 CFR 1.97(b).

Respectfully submitted,

Date: 10/23/2003

Dennis T. Griggs  
Dennis T. Griggs  
Registration No. 27,790  
Attorney for Applicant

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972- 447- 4569

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CERTIFICATE OF MAILING (37 C.F.R. § 1.8(a))

I hereby certify that this

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

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S. Maria Tedesco

(Typed name of person mailing paper)

Date: 10/23/03

Shana Lee  
(Signature of person mailing paper)



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Group Art Unit \_\_\_\_\_

Examiner:

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P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT**

The following sections are submitted for this Information Disclosure  
Statement:

1.   X   Preliminary Statements
2.   X   FORM PTO/SB/008A
3.        FORM PTO/SB/008B
4.        Statement As To Information Not Found in Patents or Publications
5.        Identification Of Prior Application In Which Information Was Cited  
And For Which No Copies Are Submitted Or Need Be Submitted
6.        Cumulative Patents or Publications
7.   X   Copies of Listed Information Items Accompanying This Statement

8. \_\_\_\_\_ Concise Explanation of Non-English Language Listed Information Items
9. \_\_\_\_\_ Translation(s) of Non-English Language Documents
10.  X  Identification of Person(s) Making This Information Disclosure Statement

\* \* \* \* \*

Section 1. Preliminary Statements

Submitted herewith are patents, publications or other information of which Applicant is aware and believes may be material to the examination of this application, and in respect of which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), or as an admission that the information cited is, or is considered to be, material to patentability (37 CFR 1.97(h)), or that no other material information exists.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner.

Section 2. FORMS PTO/SB/008A and PTO/SB/008B

X  Form PTO /SB/008A ( 1 page) is enclosed herewith.

\_\_\_\_\_ Form PTO /SB/008B ( \_\_\_\_\_ pages) is enclosed herewith.

Section 3. Statement As To Information Not Found In Patents Or Publications (Information not listed in Forms PTO/SB/008A and PTO/SB/008B)

Section 4. Identification Of Prior Application In Which Information Was Cited And for Which No Copies Are Submitted Or Need Be Submitted

\_\_\_\_\_ This application relies, under 35 U.S.C. 120, on the earlier filing date of prior application S/N \_\_\_\_\_ filed \_\_\_\_\_.

Section 5. Cumulative Patents or Publications

\_\_\_\_\_ is cumulative of the following patents or publications listed on Forms PTO/SB/008A and PTO/SB/008B:

\_\_\_\_\_ In accordance with 37 CFR 1.98(c) a copy of only \_\_\_\_\_

\_\_\_\_\_ is/are being submitted with this information disclosure statement.

Section 6. Copies of Listed Information Items Accompanying This Statement

  X   Legible copies of all items listed on Form PTO/SB/008A accompany this information disclosure statement.

\_\_\_\_\_ Exception(s) to above

\_\_\_\_\_ items in prior application from which an earlier filing date is claimed for this application as identified in Section 4.

\_\_\_\_\_ cumulative patents or publications identified in Section 5.

Section 7. Concise Explanation of Non-English Language Listed Information Items

Section 8. Translation(s) of Non-English Language Documents

\_\_\_\_\_ Submitted herewith is an English translation of the following foreign language patents, publications or information or of those portions of those patents, publications or information considered to be material.

\_\_\_\_\_ No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except for those listed above.

\_\_\_\_\_ The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith.

Section 9. Identification of Person(s) Making This INFORMATION DISCLOSURE STATEMENT

The person making this statement is the attorney who signs below on the basis of:

\_\_\_\_\_ information supplied by the inventor(s)

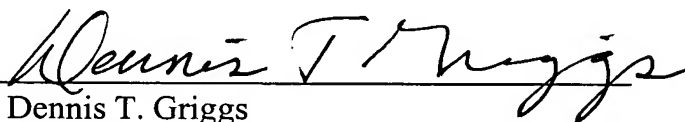
\_\_\_\_\_ information supplied by an individual associated with the filing and prosecution of this application (37 CFR 1.56(c))

  X   information in the attorney's file

It is requested that the references identified in this Information Disclosure Statement be considered by the Examiner, be made a part of the official record, and be cited in the issued patent.

Respectfully submitted,

Date: October 22, 2003



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S. Maria Tedesco

(Typed name of person mailing paper)

Date: 10/23/03

  
(Signature of person mailing paper)

PTO/SB/08A (08-03)